

STATE OF ALASKA DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT DIVISION OF BANKING and SECURITIES 550 WEST SEVENTH AVENUE, SUITE 1850 ANCHORAGE, ALASKA 99501 PHONE: (907) 269-8140 8

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2. On February 9, 2018, the Department issued a Certificate of Authority ("Certificate")
 2. to Respondent to establish an Interstate Trust Office.

3. Trust Companies must submit quarterly Reports of Condition ("Reports") within 30
4 calendar days of the period covered by the Report on January 30, April 30, July 30, and
5 October 30.

4. In a letter dated February 9, 2018, the Department notified the Respondent that
7 quarterly reports were due within 30 days after the end of each quarter.

5. In a letter dated June 1, 2018, the Department notified the Respondent that

9 Respondent had failed to file its first quarter report by April 30, 2018. The Department also
10 informed Respondent that failure to meet future deadlines may result in enforcement action.

6. Respondent has filed two late Reports in 2018, totaling 44 days late.

REPORT OF CONDITION (REPORTS)

Year	Quarter	Date Due	Date Filed	Days Late
2018	Q1	4/30/18	6/4/18	35
2018	Q2	7/30/18	8/8/18	9

177. Respondent has cooperated with the Department in the Department's investigation of18this matter.

19 8. Respondent has informed the Department that the Respondent has enacted policies and

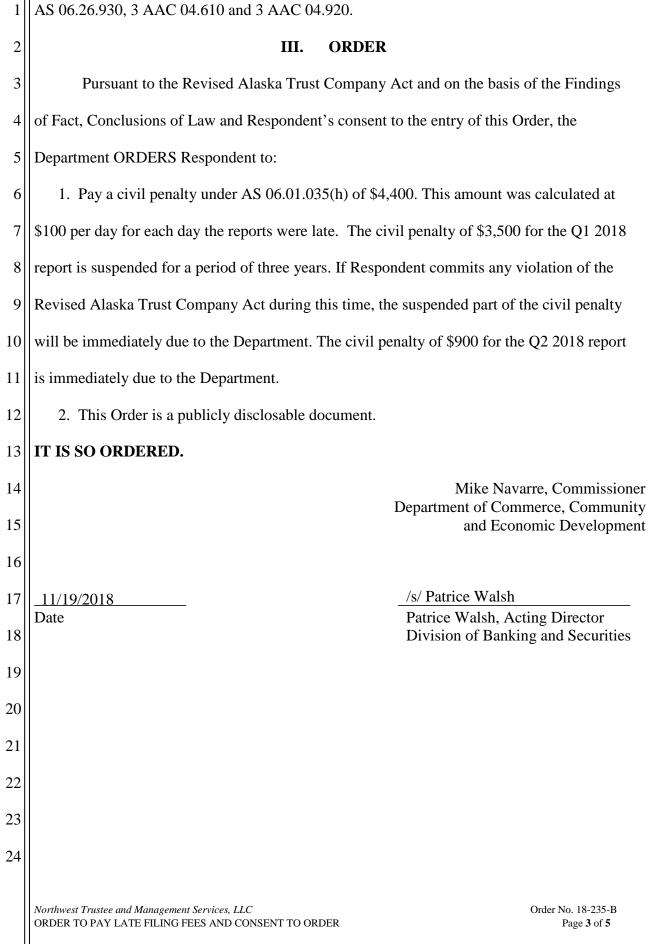
20 procedures to ensure compliance with the Department's reporting requirements.

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II. CONCLUSIONS OF LAW

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1. Respondent violated AS 06.26.930, 3 AAC 04.610 and 3 AAC 04.920 by filing two
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Reports late.

24 2. Respondent is subject to a civil penalty under AS 06.01.035(h) for violating



	1	Consent to Entry of Order				
	2	I, Stephen Trefts, state that I am the President for Northwest Trustee and				
	3	Management Services, LLC ("Respondent"); that I am authorized to act on its behalf; that I				
	4	have read the foregoing Order; and that I am aware of the right to a hearing and appeal in thi				
	5	matter, and have waived the same.				
	6	Respondent admits to the jurisdiction of the Department of Commerce, Community				
	7	and Economic Development, Division of Banking and Securities ("Department") and further				
	8	consents to entry of this Order by the Department as settlement of the issues contained in this				
	9	Order. Respondent admits violation of the Revised Alaska Trust Company Act.				
	10	Respondent understands that the Department reserves the right to take further actions to				
	11	enforce this Order or to take appropriate action upon discovery of other violations of the				
-HONE: (907) 269-8140	12	Revised Alaska Trust Company Act, and that Respondent will fully comply with the terms and				
(907) 21	13	conditions of this Order, the Revised Alaska Trust Company Act and associated regulations.				
HONE	14	//				
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		Northwest Trustee and Management Services, LLCOrder No. 18-235-BORDER TO PAY LATE FILING FEES AND CONSENT TO ORDERPage 4 of 5				

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